

November 4, 2022

Auxein Medical Private Limited Rahul Luthra Director Plot No. 168, 169, 170 Phase-IV, Sector 57, Kundli Industrial area Sonipat, Haryana 131028 India

Re: K213108

Trade/Device Name: Humerus & Ulna System

Regulation Number: 21 CFR 888.3030

Regulation Name: Single/Multiple Component Metallic Bone Fixation Appliances And Accessories

Regulatory Class: Class II Product Code: HRS, HWC Dated: October 5, 2022 Received: October 5, 2022

Dear Rahul Luthra:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

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Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to https://www.fda.gov/medical-device-problems.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance) and CDRH Learn (https://www.fda.gov/training-and-continuing-education/cdrh-learn). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice) for more information or contact DICE by email (DICE@fda.hhs.gov) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Shumaya Ali -S

Shumaya Ali, M.P.H.
Assistant Director
DHT6C: Division of Restorative, Repair
and Trauma Devices
OHT6: Office of Orthopedic Devices
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

Indications for Use

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

510(k) Number (if known) K213108

Device Name

Humerus and Ulna System

Indications for Use (Describe)

Specific Indications:

- 1. 2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate
- 2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate is intended for treating of Intraarticular Fracture of the distal humerus, Supracondylar fractures of the distal humerus and Non-unions of the distal humerus.
- 2. 2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate with Lateral Support
- 2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate with Lateral Support is intended for treating of Intraarticular fractures of the distal humerus, Supracondylar fractures of the distal humerus and Non-unions of the distal humerus.
- 3. 2.7/3.5mm Wise-Lock Dorsolateral Medial Distal Humerus Plate
- 2.7/3.5mm Wise-Lock Dorsolateral Medial Distal Humerus Plate are indicated for treating of Intraarticular fractures of the distal humerus, Supracondylar fractures of the distal humerus and Non-unions of the distal humerus.
- 4. 3.5mm Wise-Lock Extra-Articular Distal Humerus Plate
- 3.5mm Wise-Lock Extra-Articular Distal Humerus Plate are indicated for fractures of the distal humerus.
- 5. PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Short

PHEELOS Short Indications

- Dislocated two-, three-, and four-fragment fractures of the proximal humerus
- Pseudarthroses in the proximal humerus
- Osteotomies in the proximal humerus
- 6. PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Long
- As for PHEELOS Short, but for fractures extending to the shaft or without medial support.
- 7. 3.5mm Wise-Lock Proximal Humerus Plate

The 3.5mm Wise-Lock Proximal Humerus Plate is intended for fractures and fracture dislocations, osteotomies, and nonunions of the proximal humerus.3

- 8. 3.5mm Wise-Lock Periarticular Proximal Humerus Plate
- 3.5mm Wise-Lock Periarticular Proximal Humerus Plate are indicated for fractures, fracture dislocations, osteotomies, and nonunions of the proximal humerus.
- 9. 3.5mm Wise-Lock Olecranon Plate
- 3.5mm Wise-Lock Olecranon Plate is indicated for fixation of fractures, osteotomies and non-unions of the olecranon.
- 10. 3.5mm Wise-Lock Hook Plate
- 3.5mm Wise-Lock Hook Plate is indicated for fractures, osteotomies and non-unions of small bones including the ulna, radius, tibia and fibula.
- 11. 2.7mm Wise-Lock Screw, Self-Tapping (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

12. 3.5mm Wise-Lock Screw, Self-Tapping (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

13. 3.5mm Wise-Lock Screw, Self-Drilling, (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

14. 3.5mm Wise-Lock Cancellous Screw, Full Thread, Self-Tapping, (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

15. 3.5mm Wise-Lock Cancellous Screw, Short Thread, Self-Tapping, (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

16. 3.5mm Cortical Screw, Self-Tapping, (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

17. 4.0mm Cancellous Screw, Short Thread

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

18. 4.0mm Cancellous Screw, Full Thread

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

19. 2.7mm Cortical Screw, Self-Tapping, (Hex Head)

This Screw is indicated for the fixation of bone and plate to produce compression in bones which is required to mend a bone injury.

Type of Use (Select one or both, as applicable)	
☐ Prescription Use (Part 21 CFR 801 Subpart D)	Over-The-Counter Use (21 CFR 801 Subpart C)

CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

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Section 6.0: 510k Summary

Pre Market Notification 510(k) Summary as required by section 807.92 General Company Information as required by 807.92 (a)

A.1: The Submitter's Name, address, telephone number, a contact person, and the date the summary was prepared.

Submitter's Name: Auxein Medical Private Limited
Address: Auxein Medical Private Limited

Plot No. 168-169-170, Phase-4, Kundli Industrial Area, HSIIDC,

Sector-57, Sonepat-131028, Haryana, India

Contact Person Name: Mr. Rahul Luthra

Title: Director

 Email Id:
 info@auxein.com

 Phone Number:
 +91 9560557733

 Dated:
 04.11.2022

Person Responsible for Regulatory Compliance

Name: Mr. Mohit Kumar

Title: Sr. Research Engineer

Email Id: m.kumar@auxein.com

Dated: 04.11.2022

Throughout the submission of Humerus and Ulna System is covered under 510(k) Submission.

A.2: The name of the device, including the trade or proprietary name if applicable, the common or usual name, and the classification name, if known

Proprietary Name:

Humerus and Ulna System

Common or Usual Name:

Plate, Fixation, Bone (Primary) Screw Fixation, Bone

Classification Name:

Single/multiple component metallic bone fixation appliances and accessories (Primary) Smooth or threaded metallic bone fixation fastener

K213108



Product Code:

HRS (Primary)

HWC

Device Class: II

Review Panel: Orthopedic

Regulation Number:

21 CFR 888.3030 (Primary)

21 CFR 888.3040

Primary Product	Classification Name	Common Name	Regulation Number
Code			
HRS	Single/multiple	Plate, Fixation Bone	21 CFR 888.3030
	component metallic		
	bone fixation		
	appliances and		
	accessories		

Variants/Types:

Humerus and Ulna Systems consist of the following Components:

S.No.	Product Description
1.	2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate (3, 5, 7, 9, 14 Holes), Left and Right
2.	2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate with Lateral Support (3, 5, 7, 9, 14 Holes), Left and Right
3.	2.7/3.5mm Wise-Lock Dorsolateral Medial Distal Humerus Plate, (3, 5, 7, 9, 14 Holes), Left and Right
4.	3.5mm Wise-Lock Hook Plate, (3, 4, 5 Holes)
5.	3.5mm Wise-Lock Extra-Articular Distal Humerus Plate, (4, 6, 8, 10, 12, 14 Holes), Left and Right
6.	3.5mm Wise-Lock Olecranon Plate, (2, 4, 6, 8, 10, 12 Holes), Left and Right
7.	PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Short, (3, 4, 5 Holes)
8.	PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Long, (5, 6, 8, 10, 12 Holes)
9.	3.5mm Wise-Lock Periarticular Proximal Humerus Plate, (2, 3, 4, 5, 6, 8, 10, 12, 14 Holes), Left and Right

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10.	3.5mm Wise-Lock Proximal Humerus Plate, (5, 6, 7, 8 Holes)		
	Screws		
11.	2.7mm Wise-Lock Screw, Self-Tapping (Hex Head), (10-60mm) Length		
12.	3.5mm Wise-Lock Screw, Self-Tapping (Hex Head), (10-80mm) Length		
13.	3.5mm Wise-Lock Screw, Self-Drilling, (Hex Head), (10-60mm) Length		
14.	3.5mm Wise-Lock Cancellous Screw, Full Thread, Self-Tapping, (Hex Head), (10-60mm) Length		
15.	3.5mm Wise-Lock Cancellous Screw, Short Thread, Self-Tapping, (Hex Head), (10-60mm) Length		
16.	3.5mm Cortical Screw, Self-Tapping, (Hex Head), (10-90 mm) Length		
17.	4.0mm Cancellous Screw, Short Thread, (12-60 mm) Length		
18.	4.0mm Cancellous Screw, Full Thread, (12-60 mm) Length		
19.	2.7mm Cortical Screw, Self-Tapping, (Hex Head), Titanium (6-30 mm) Length		

A.3) Identification of the Predicate Device:

Following are the predicate device 510(K) with which we are declaring substantial equivalence: The following is the range of variants covered with their corresponding predicate devices.

Primary Predicate:

510K Number	K082625
Applicant	Synthes, USA
Common Name	Bone Fixation Plates
Device Name	3.5mm LCP Periarticular Proximal Humerus Plates

Other Predicate:

510K Number	K082807
Applicant	Synthes, USA
Common Name	3.5 /4.5 mm LCP System
Device Name	Synthes 3.5mm LCP Olecranon Plates

Reference Device:

510K Number	K033995	
Applicant	Synthes, USA	
Common Name	Bone Fixation Plates	
Device Name	Device Name 3.5 mm LCP Distal Humerus System	

510K Number	K141680

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Applicant	Auxein Medical Private Limited, India	
Common Name	Bone Fixation Plates	
Device Name	Auxein Bone Plates and Screws	

510K Number	K082072
Applicant	Synthes, USA
Common Name	Bone Fixation Plates
Device Name	3.5 mm LCP Hook Plate

A.4) A description of the device that is the subject of the pre market notification submission, such as might be found in the labelling or promotional material for the device.

Device Description:

The Humerus and Ulna System consists of various types of bone plates, Screws for implantation in the humerus and ulna bone to treat humerus and ulna bone fractures.

The Humerus and Ulna System consists of medial and postero-lateral distal humerus plates of various lengths and 2.7 mm locking screws. The plates are pre-contoured to match the anatomy of the distal humerus with a limited contact low profile design. The plate features locking compression holes which accept 2.4, 3.5, & 4.0 mm cortex screws, 2.4, 2.7 & 3.5 mm locking screws, and 4.0 mm cancellous screws. The System is available in Stainless Steel and Titanium.

These implants are sold in both non-sterile and sterile conditions.

Note- Non sterile products have to be sterilized before use.

The system is indicated for use in adult patients only. All implants are for single use only.

The humerus and Ulna System contains several plates and each plate has its intended uses. The intended uses for all plates are given below:

A.5) Indications for Use:

2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate

2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate is intended for treating of Intraarticular Fracture of the distal humerus, Supracondylar fractures of the distal humerus and Non-unions of the distal humerus.

2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate with Lateral Support

2.7/3.5mm Wise-Lock Dorsolateral Distal Humerus Plate with Lateral Support is intended for treating of Intraarticular fractures of the distal humerus, Supracondylar fractures of the distal humerus and Non-unions of the distal humerus.

2.7/3.5mm Wise-Lock Dorsolateral Medial Distal Humerus Plate

2.7/3.5mm Wise-Lock Dorsolateral Medial Distal Humerus Plate are indicated for treating of Intraarticular fractures of the distal humerus, Supracondylar fractures of the distal humerus and Non-unions of the distal humerus.



3.5mm Wise-Lock Extra-Articular Distal Humerus Plate

3.5mm Wise-Lock Extra-Articular Distal Humerus Plate are indicated for fractures of the distal humerus.

PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Short & Long PHEELOS Short Indications

- Dislocated two-, three-, and four-fragment fractures of the proximal humerus
- Pseudarthroses in the proximal humerus
- Osteotomies in the proximal humerus

PHEELOS long indications

• As for PHEELOS Short, but for fractures extending to the shaft or without medial support.

3.5mm Wise-Lock Proximal Humerus Plate

The 3.5mm Wise-Lock Proximal Humerus Plate is intended for fractures and fracture dislocations, osteotomies, and nonunions of the proximal humerus.

3.5mm Wise-Lock Periarticular Proximal Humerus Plate

3.5mm Wise-Lock Periarticular Proximal Humerus Plate are indicated for fractures, fracture dislocations, osteotomies, and nonunions of the proximal humerus.

3.5mm Wise-Lock Olecranon Plate

3.5mm Wise-Lock Olecranon Plate is indicated for fixation of fractures, osteotomies and non-unions of the olecranon.

3.5mm Wise-Lock Hook Plate

3.5mm Wise-Lock Hook Plate is indicated for fractures, osteotomies and non-unions of small bones including the ulna, radius, tibia and fibula.

A.6) Summary of Technological Characteristics as compared to the predicate devices: Substantial equivalence including comparison with predicate devices.

A comparison between the Auxein's Humerus and Ulna System and predicate devices has been performed which has resulted in demonstration of similarities in dimensional and performance criteria.

Following is the summary of parameters in which the comparison has been verified:

S.No.	Characteri	Auxein Device	Predicate Device	Remarks
	stics			
1.	Product	HWC (Primary), HRS	HWC (Primary), HRS	Identical
	Code			as
				predicate
				device
2.	Regulation	21 CFR 888.3030 (Primary),	21 CFR 888.3030 (Primary), 21	Identical
	Number	21 CFR 888.3040	CFR 888.3040	as

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				predicate
				device
3.	Regulatory	Class II	Class II	Identical
	Class			as
				predicate
				device
4.	Indications	2.7/3.5mm Wise-Lock	2.7/3.5mm Wise-Lock	Identical
	for use	Dorsolateral Distal	Dorsolateral Distal Humerus	as
		Humerus Plate	Plate	predicate
		2.7/3.5mm Wise-Lock	2.7/3.5mm Wise-Lock Dorsolateral	device.
		Dorsolateral Distal Humerus	Distal Humerus Plate is intended	
		Plate is intended for treating	for treating fractures of humerus	
		fractures of humerus bones.	bones.	
		2.7/3.5mm Wise-Lock	2.7/3.5mm Wise-Lock	
		Dorsolateral Distal	Dorsolateral Distal Humerus	
		Humerus Plate with Lateral	Plate with Lateral Support	
		Support	2.7/3.5mm Wise-Lock Dorsolateral	
		2.7/3.5mm Wise-Lock	Distal Humerus Plate with Lateral	
		Dorsolateral Distal Humerus	Support is intended for treating	
		Plate with Lateral Support is	fractures of humerus bones.	
		intended for treating fractures		
		of humerus bones.	3.5 mm LCP Distal Humerus	
			System	
		2.7/3.5mm Wise-Lock	3.5 mm LCP Distal Humerus	
		Dorsolateral Medial Distal	3	
		Humerus Plate	articular fractures of the distal	
		2.7/3.5mm Wise-Lock		
		Dorsolateral Medial Distal		
		Humerus Plate are indicated	osteotomies, and non-unions of the	
		for intra articular fractures of	distal humerus.	
		the distal humerus,	25 ICD E . A .: 1	
		commninuted supracondylar		
		fractures, osteotomies, and non-unions of the distal		
		humerus.	Humerus Plate are indicated for	
		numerus.	intra articular fractures of the distal	
		3.5mm Wise-Lock Extra-	humerus, commninuted	
		Articular Distal Humerus	supracondylar fractures,	
		Plate	osteotomies, and non-unions of the	
		3.5mm Wise-Lock Extra-	distal humerus.	
		Articular Distal Humerus		
		Plate are indicated for intra	PHEELOS-3.5mm Wise-Lock	
			Proximal Humerus Plate, Short	
		l same and an area area.		



humerus, commninuted supracondylar fractures, osteotomies, and non-unions of the distal humerus.

PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Short & Long

PHEELOS-3.5mm Wise-Lock Proximal Humerus
Plate, Short & Long are
intended for treating fractures
of humerus bones.

3.5mm Wise-Lock Proximal Humerus Plate

The 3.5mm Wise-Lock Proximal Humerus Plate is intended for treating fractures of humerus bones.

3.5mm Wise-Lock Periarticular Proximal Humerus Plate

3.5mm Wise-Lock
Periarticular Proximal
Humerus Plate are indicated
for fractures, fracture
dislocations, osteotomies, and
nonunions of the proximal
humerus.

3.5mm Wise-Lock Olecranon Plate

3.5mm Wise-Lock Olecranon Plate is indicated for fixation of fractures, osteotomies and non-unions of the olecranon.

3.5mm Wise-Lock Hook Plate

3.5mm Wise-Lock Hook Plate is indicated for fractures, osteotomies and

& Long

PHEELOS-3.5mm Wise-Lock Proximal Humerus Plate, Short & Long are intended for treating fractures of humerus bones.

3.5mm Wise-Lock Proximal Humerus Plate

The 3.5mm Wise-Lock Proximal Humerus Plate is intended for treating fractures of humerus bones.

3.5mm LCP Periarticular Proximal Humerus Plates

3.5mm LCP Periarticular Proximal Humerus Plate are indicated for fractures, fracture dislocations, osteotomies, and nonunions of the proximal humerus, particularly in osteopenic bone.

3.5mm LCP Olecranon Plate

The Synthes 3.5 mm Locking Compression Plate (LCP) System is indicated for fixation of fractures, osteotomies and non-unions of the clavicle, scapula, olecranon, humerus, radius, ulna, pelvis, distal tibia, fibula, particularly in osteopenic bone for adult patients.

3.5 mm LCP Hook Plate

3.5mm LCP Hook Plate is indicated for fractures, osteotomies and non-unions of small bones including the ulna, radius, tibia and fibula, particularly in osteopenic bone.



		non-unions of small bones		
		including the ulna, radius,		
		tibia and fibula.		
5.	Material	Titanium Alloy and Stainless	Titanium Alloy and Stainless Steel.	Confirm to
		Steel.	-	the same
				material
				standard
6.	Performan	The performance testing was	The performance testing was done	Identical
	ce	done on the subject device as	on the predicate device as per the	as
	Standards	per the standard ASTM F382	standard ASTM F382 and F543.	predicate
		and F543.		device.
7.	Sterilizati	Gamma Sterilization Method	Gamma Sterilization Method and	Identical
	on	and Non-Sterile used in	Non-Sterile used in predicate	as
		subject device.	device.	predicate
				device.
8.	Shelf-life	5 Years (For Sterilized	5 Years (For Sterilized Product)	Identical
		Product)		as
				predicate
				device.
9.	Single	Single Use	Single Use	Identical
	Use/Reuse			as
				predicate
				device.
10.	Operating	The plate is fixed to the bone	The plate is fixed to the bone by	Identical
	Principle	by application of screws on	application of screws on both sides	as
		both sides of the fracture.	of the fracture.	predicate
				device.
11.	Dimension	The same dimensions are found in both new devices as well as		Identical
	al	Predicate devices.		as
	Verification			predicate
				device.

B.1) Discussion on the non-clinical testing performed

Following are the applicable product standards considered for non-clinical standards:

- Material Standards.
- Biocompatibility Standards
- Performance Standards.
- Sterilization, shelf-life and packaging for sterile product.
- Bacterial Endotoxin

Non-Clinical Test Summary:

Bench tests were conducted to verify that the subject device met all design specifications. The test results demonstrated that the subject device complies with the following standards:



Material Standards:

The material standards are the essential part to be complied with first, as it is the basis of manufacturing metallic surgical implants.

We have complied with the following material standards:

- **ASTM F136/ISO 5832-3:** Standard specification for wrought Titanium-6 Aluminum-4 Vanadium ELI (Extra low interstitial) Alloy for surgical implant applications.
- **ASTM F899-12:** Standard Specification for Wrought Stainless Steels for surgical instruments.
- **ASTM F138/ISO 5832-1:** Standard Specification for Wrought-18 Chromium-14 Nickel-2.5 Molybdenum Stainless Steel Bar and Wire for Surgical Implants.

Note: We have used Grade 304 of Stainless steel (SS 304) Material for instruments as per ASTM F899-12b, Stainless Steel (Grade 316L) as per ISO 5832-3/ASTM F138 for Stainless Steel Implants and Titanium Alloy (Ti-6Al-4V) Grade 5 as per ISO 5832-1/ASTM F136 for Titanium Implants.

We have verified the purchased material and are in compliance to these standards and copies of the relevant test results are attached in Vol_005_Appendix D Implant Material Report and Vol_006_Appendix E ASTM F899 Report of the technical dossier.

Summary of Biocompatibility

The device in its final finished form has been evaluated for biocompatibility according to ISO 10993.

Mechanical performance

- ASTM F382, Standard Specification and Test Method for Metallic Bone Plates.
- ASTM F543, Standard Specification and Test Method for Metallic Medical Bone Screws.

The following tests were performed with the predicate device:

Plate

• 4-Point Static Test: Conforms

Screw

Driving Torque Test: Conforms

• Torsion Test: Conforms

• Axial Pull-out Test: Conforms

The results of this testing indicate that the Humerus and Ulna System is equivalent to the predicate device.

Sterilization, shelf-life and packaging for sterile product

- ISO 11137-1:2006, sterilization of health care products Radiation Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices.
- ISO 11137-2:2012, Sterilization of health care products Radiation Part 2: Establishing the sterilization dose.
- ISO 11137-3:2017, Sterilization of health care products Radiation Part 3: Guidance on

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- dosimetric aspects of development, validation and routine control.
- ISO 17665-1:2006, Sterilization of health care products Moist heat Part 1: Requirements for the development, validation and routine control of a sterilization process for medical devices.
- ISO/TS 17665-2:2009, Sterilization of health care products Moist heat Part 2: Guidance on the application of ISO 17665-1.
- ISO/TS 17665-3:2013(en), Sterilization of health care products Moist heat Part 3: Guidance on the designation of a medical device to a product family and processing category for steam sterilization.
- ISO 11140-1:2014, Sterilization of health care products Chemical indicators Part 1: General requirements.
- ISO 11737-1:2018 Sterilization of medical devices Microbiological methods- Part 1: Estimation of population of microorganisms on products.
- ISO 11737-2:2009 Sterilization of medical devices Microbiological methods- Part 2: Tests of sterility performed in the validation of a sterilization process.
- ISO 11607-1:2006/AMD1:2014 Packaging for terminally sterilized medical devices part 1:requirements for materials, sterile barrier systems and packaging system.
- ISO 11607-2:2006/AMD1:2014 Packaging for terminally sterilized medical devices Part 2: Validation requirements for forming, sealing and assembly processes.
- ASTM F1980:2016 Standard Guide for Accelerated Aging of Sterile Barrier Systems for Medical Devices.
- ASTM F88/F88M:2015 Standard test method for seal strength of flexible barrier materials.
- ASTM F1929:2015 Standard Test Method for Detecting Seal Leaks in Porous Medical Packaging by Dye Penetration.

Bacterial Endotoxin

- USP <85> Bacterial Endotoxin Test.
- USP <161> Medical Devices-Bacterial Endotoxin and Pyrogen Tests.

Conclusion:

There are no significant differences between the subject device and the predicate devices that would adversely affect the use of the product. It is substantially equivalent to these devices in design, function, materials, and operational principles as internal fixation components. From the data available we can justify that the Auxein's Humerus and Ulna is as safe, and as effective and perform the same indications for use as those of already marketed predicate devices identified in A.3. of 510(k) summary.

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